SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number 3235-0287 Estimated average burden 0.5 hours per response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* TURNER BRIAN V | | | 2. Issuer Name and Ticker or Trading Symbol MICROVISION, INC. [MVIS] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | | |
|--|---------|----------|---|--|--|---------------------------------------|--|--|--|
| (Last) (First) (N | | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 12/01/2022 | | Director Officer (give title below) | 10% Owner Other (specify below) | | | |
| 6244 185TH AVE NE SUITE 100 | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indivi X | vidual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person | | | | |
| (Street) | | | | | Form filed by More than | n One Reporting Person | | | |
| REDMOND | WA | 98052 | | | | | | | |
| (City) | (State) | (Zip) | | | | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | | tion str. | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership |
|---------------------------------|--|--|------|--------------|--|---------------|------------------------------|--|---|-------------------------|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 12/01/2022 | | М | | 7,492 | Α | \$0.00 ⁽¹⁾ | 282,475 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| (5.3, Paris, Faris, Faris, Faris, Structure Contains Contains (5.3, Paris, 1.2, | | | | | | | | | | | | | | | |
|---|---|------------|---|---------------------------------|---|-----|-------|---------------------------|--------------------|--|-------------------------------------|------------|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transac Code (In 8) | | | | Expiration Date | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | Derivative | 9. Number of derivative Securities Beneficially Owned Following Reported | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |
| Restricted Stock Units | \$0.00 ⁽²⁾ | 12/01/2022 | | М | | | 7,492 | 12/01/2022 ⁽³⁾ | 12/01/2022 | common stock | 7,492 | \$0.00 | 0 | D | |

Explanation of Responses:

1. Vested restricted stock units, or RSUs, were distributed to the Reporting Person, without payment, in shares of common stock on a unit-for-share basis.

2. At vesting, converts into shares of common stock on a unit-for-share basis.

3. Restricted stock units granted 06/01/2022 are scheduled to vest in four equal quarterly installments until fully vested on the earlier of the first anniversary of the grant date or the day prior to the company's 2023 Annual Meeting of Shareholders, provided that the reporting person continues to serve as a director through each vesting date.

Remarks:

/s/ Drew G. Markham, attorney-in- 12/02/2022 fact Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.